

To,

The Vice Chancellor,
 UPES, Dehradun

SUB : Approval of Supervisor Recognition Criteria

Sir,

A PhD Supervisor recognition committee consisting of the Deans of all the schools and Dean R&D was constituted as recommended by you. The purpose of this committee is to evolve the criteria to recognize a faculty member who qualify to be a PhD Supervisor.

The Committee decided that apart from the UGC criteria for PhD supervisor recognition, UPES should have additional yardsticks in this process for a better team of faculty to supervise PhD students. To entail better quality of research and have parity in allocation of supervisor, importance is now given to Projects and Patents also.

The UGC Criteria for Supervisor Recognition is:

1. Faculty should have a PhD Degree
2. Professor/ Associate Professor should have minimum 5 publications and Assistant Professor should have minimum 3 publications.

The faculty with above criteria becomes eligible to be supervise and he/she can apply for the same. As discussed with all of you, the minimum criteria for supervisor recognition at UPES (in agreement with all of you) is summarized, which is over and above the UGC Criteria,

UPES Criteria for Supervisor Recognition :

1. Faculty should have minimum one year of experience post PhD
2. Faculty should have minimum publications as per the table given below.
3. Faculty who has or had externally funded project with a student, would be considered as recognized Supervisor (*even if criteria 1 & 2 are not met*)
4. Patent filed by any faculty will be considered equivalent to 0.5 research paper/ publication, and patent granted will be considered equivalent to 2 research papers/ publications.

The detailed summary of the meetings held is as below:

Criteria of Supervisor Recognition	School			
	School of Engineering/ School of Computer Science/ School of Health Sciences	School of Business	School of Design	School of Law

Publications (For Professor)	Minimum 7 Publications in SCI Indexed Journals	Min 7 Publications in ABDC/SCOPUS/WOS/SCIE	Min 7 Publications in SCOPUS/ Reputed Journal/ Allied Journals (List to be provided by SOD)	Minimum 7 Publications in UGC/ Reputed Journal (List to be provided by SOL)
Publications (For Associate Professor)	Minimum 5 Publications in SCI Indexed Journals	Min 5 Publications in ABDC/SCOPUS/WOS/SCIE	Min 5 Publications in SCOPUS/ Reputed Journal/ Allied Journals(List to be provided by SOD)	Minimum 5 Publications in UGC/ Reputed Journal(List to be provided by SOL)
Publications (For Assistant Professor)	Minimum 3 Publications out of which at least 2 in SCI Indexed Journals	Minimum 3 Publications out of which at least 2 Publications in ABDC/SCOPUS/WOS/SCIE	Minimum 3 Publications out of which at least 2 Publications in SCOPUS/ Reputed Journal/ Allied Journals(List to be provided by SOD)	Minimum 3 Publications in UGC/ Reputed Journal (List to be provided by SOL)
Patents	1 Patent Granted will be held equivalent to 2 Publications, 1 Patent filed will be equivalent to 0.5 Publication		1 Patent Granted/ Trademark/ Design Registration will be held equivalent to 2 Publications, 1 Patent filed will be equivalent to 0.5 Publication	Not Applicable
Post PhD Experience	Minimum One Year			
Projects	Any Faculty having externally funded projects with at least one student will be recognised as Eligible Supervisor			Any faculty having Project/ Consultancy for minimum INR 2 lakhs will be recognised as Eligible Supervisor
Ex UPES Faculty	Faculty who has left UPES but PhD Degree awarded to any candidate in 2020 will be considered as recognised supervisor			
Not eligible as per above but is guiding PhD student.	Faculty who is found not eligible as per new norms of recognition of supervisor but is currently guiding any candidate, will be recognised as supervisor but no further candidate will be allocated. They will be encouraged for reputed Publications, Projects and Patents to get further PhD student.			

On the basis of above criteria the list of recognized supervisors has been discussed with respective Dean of School and Assistant Dean Research. The same has been agreed by all the Deans. School of Engineering has also agreed on the same.

This is for your kind consideration and approval please

Thanks & Regards,

D.K. Avasthi

Dr DK Avasthi
Dean R & D

Gurvinder Virk

Dr. Gurvinder Virk
Dean SOE

Dr. Priyadarsan Patra
Dean SOCS

Githa S Heggde

Dr. Githa S Heggde
Dean SOB

dr. vijay kumar singh

Dr. V.K.Singh
Dean SOL

Neeraj Mahindroo

Dr. Neeraj Mahindroo
Dean SOHS

Ram Sharma

Dr. Ram Sharma
Dean Academics

[Signature]
Vice Chancellor
UPES, Dehradun

CC.

Dr. Veena Dutta
Registrar, UPES, Dehradun